

AUDIT AND RISK MANAGEMENT COMMITTEE - Outstanding Actions

	Item	Action	Officer responsible	Progress updates/target
1	International Centre for Financial Regulation (added Dec 2012)	Chamberlain advised Members to await the outcome of the police report, before taking a view about risk assurance implications.	Peter Kane	An individual has been charged, court hearing date has been re-scheduled to the 3 rd June 2015. The Committee will receive an update on the outcome of the Trial.
2	Internal Audit Peer Review (added 4.3.14)	Once all the Peer Reviews had been published, officers would look at benchmarking results with London Boroughs.	Paul Nagle	Next report to Committee in March or June 2015.
3	Anti Fraud on-line training course (added 9.9.14)	Refresher Policy to be agreed with HR and presented to ARM in January 2015, as part of the update report on Fraud Awareness Training.	Paul Nagle/Chris Keesing	An update will be provided at the February 2015 meeting.
4	Hampstead Heath (added 9.9.14)	An issue report will be presented to Hampstead Heath, Highgate Wood and Queens Park in November and Projects Sub and Audit and Risk Management Committees in December. The issue report indicates that, although the site preparation works may not start until February 2015, this is not anticipated to impact on final completion date.	Esther Sumner	The judicial review found in the City's favour on 28/11/14. Planning application likely to be considered on 15/01/15. Update in February 2015.
5	CR18 - Workforce Planning (added 9.9.14)	Members asked for a brief explanation clarifying the cost in respect of confidential item (21) on the agenda.	Chrissie Morgan	February 2015.

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6	CR16 – Information Security (added 9.9.14)	Members asked for three monthly updates on the training programme with effect from January 2015 and for the next report on Risk Management to include a further update on implementing the policy; with target dates for risk mitigation and for the relevant officer to be present.	Graham Bell	February 2015. (report appended)
8	Committee Satisfaction Survey (added 4.11.14)	One of the Members offered to provide a pro-forma used within their place of business and Members agreed that input into future questions would be helpful.	Neil Davies	Next Satisfaction Survey – date to be confirmed.
9	Overtime and Holiday Pay (added 4.11.14)	Currently indeterminate but this would be kept under review by the Audit and Risk Management Committee as the outcome might need to go before the Finance Committee and the Court of Common Council.	Paul Nagle Caroline Al-Beyerty	
10	Anti-fraud Investigations (added 8.12.14)	Provide a cost analysis of recovery action as part of the next report.	Chris Keesing	April 2015.
11	Air Quality (added 8.12.14)	There was a strong view that this should be added to the Corporate Risk Register. (Added to the Summit Group Agenda for 21 January 2015.)	Suzanne Jones	Update February 2015.
12	Risk Challenge Sessions (added 8.12.14)	Chairmen to be invited to the risk challenge sessions, noting the new dates.	Julie Mayer	Completed, January 2015.
13	Committee's Terms of Reference (added 8.12.14)	Members asked if the Committee's Terms of Reference could align with the responsibilities of the Summit Group in respect of the addition of new items to the Corporate Risk Register.	Peter Kane/Simon Murrells/Julie Mayer	Update February 2015 – note White Paper to the Annual Court of Common Council in April 2015.